

2871



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Hidenori KAWATA

Group Art Unit: 2871

Application No.: 09/922,859

Examiner: Z. Q. Qi

Filed: August 7, 2001

Docket No.: 110097

For: ELECTRO-OPTICAL APPARATUS, ELECTRONIC DEVICE, SUBSTRATE FOR USE
IN AN ELECTRO-OPTICAL APPARATUS, METHOD OF PRODUCING A SUBSTRATE
FOR USE IN AN ELECTRO-OPTICAL APPARATUS, AND LIGHT SHIELDING FILM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

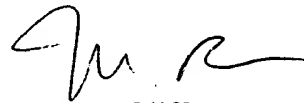
Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance or other action that closes prosecution (e.g., Quayle Action).
 - ☒ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
 - ☒ b. Attached is our check no. 150048 in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please credit or debit Deposit Account No. 15-0461 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☒ 2. English-language Abstracts of the non-English language references are attached hereto.

- ☒ 3. A computer-generated English translation of the following Japanese Patent Publication has been obtained from the website of the Japanese Patent Office (<http://www.jpo.go.jp>), and is attached, but has not been reviewed for accuracy. See Reference 2.

Respectfully submitted,



James A. Oliff
Registration No. 27,075

Michael Britton
Registration No. 47,260

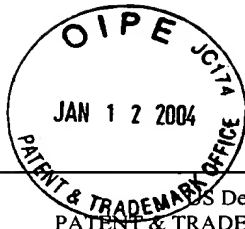
JAO:MQB/ale

Date: January 12, 2004

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400

**DEPOSIT ACCOUNT USE
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Please grant any extension
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Sheet 1 of 1Form PTO-1449
(REV. 8-83)U.S. Dept. of Commerce
PATENT & TRADEMARK OFFICEATTY DOCKET NO.
110097APPLICATION NO.
09/922,859

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT
Hidenori KAWATAFILING DATE
August 7, 2001GROUP
2871

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS |
|---------------------|--|-----------------|------|------|-------|--------------|
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS |
|--|---|--|---------|---------|-------|--------------|
| | 1 | KR 1995-27473A (w/Abstract and partial English-language translation) | 10-1995 | Korea | | |
| | 2 | JP 10-206889A (w/Abstract and English-language translation) | 08/1998 | Japan | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER

DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date: January 12, 2004